

Directorate Risk Register - Summary (December 13)

No	Directorate	Description of Risk	Current Risk Score	Likelihood	Impact	Target Risk Score
Red Rated Directorate Risks						
1	Corporate Services	Increased costs and loss of income as a result of the Land Registry Prototype (migration of the Local Land Charges Service)	B3	High	Significant	E3
2	Place	Risk of not being able to identify financially sustainable models of operation for Museums, Art Galleries and Libraries.	B3	High	Significant	C3
3	People	The processes in place to safeguard the sensitive and personal information that the Directorate holds regarding Clients/Residents does not meet legislative requirements and/or best practice guidance leading to misuse/data breaches/data loss and potential monetary fines from the Information Commissioners Office	B3	NOTE: Escalated to the Strategic Risk Register for oversight by the Council Management Team (Nov 2013).		
4	Place	Health and Safety arrangements not actively monitored and lessons learned from incidents not properly applied	C2	Significant	Critical	D2
Amber Rated Directorate Risks						
5	Corporate Services	There is insufficient capacity to deliver both the directorate key priorities in addition to responding to increasing demand for advice/support from other directorates in this period of significant change	C3	Significant	Significant	C3
6	Corporate Services	Failure to deliver the changes to the electoral registration system needed to introduce Individual Electoral Registration (IER) particularly through the public engagement phase such that large numbers of genuine electors cease to be on the electoral register	C3	Significant	Significant	E4
7	Place	Insufficient progress on VIP Regeneration projects (City Centre and Estates) leading to reputational damage, lack of economic growth and/or continuation of sub standard Council housing in some areas.	C3	Significant	Significant	D3
8	Place	Unable to deliver ECO or district Energy plans leading to continued high heating and other energy costs with carbon reductions not achieved and fuel poverty continuing at current or higher levels	C3	Significant	Significant	C3

9	People	The Providers that the People Directorate works with are unable to fulfil their contractual/framework/spot purchase obligations due to service failure/poor service design/errors in practice	C3	Significant	Significant	D3
10	People	The failure of services/design of services/errors in practice/inability to share information leads to the death or significant harm of an individual/individuals currently in receipt of Council support/eligible for support/resident of the City, under current legislation.	C3	Significant	Significant	D3
11	People	The resources available to the Directorate financially/capital assets/staffing are not adequate to provide minimum/current levels/future/statutory service levels	C3	Significant	Significant	C3
12	People	The Directorate is unable to carry out day to day activities due to a major incident/service based incident/emergency situation that diverts staff and other resources	D2	Low	Critical	D4
13	Place	Unable to fully deliver Waste Transformation Programme leading to future additional budget pressures and missed targets for recycling/landfill reduction.	D2	Low	Critical	D3
14	Corporate Services	Corporate management failure to deliver mobile/flexible working in a timely manner to enable the vacation of Marlands House leading to the need to rent another building or incur significant capital costs in making changes to the Civic (if time allows).	D3	Low	Significant	E3
15	Corporate Services	The required/expected savings and performance outcomes from the Partnership with Capita are not delivered.	D3	Low	Significant	D3
16	Transformation and Performance	Insufficient re-skilling and apprenticeships created to meet the emerging needs of the future growth sectors of the City economy.	D3	Low	Significant	D3
17	Corporate Services	The Disposal Programme is unable to realise anticipated capital receipts or deliver the required level of income based upon the strategy agreed with the Administration/CMT	E3	Very Low	Significant	E3
Green Rated Directorate Risks						
18	Place	Delays or barriers to introducing HMO Licensing schemes resulting in continued poor housing conditions in parts of the private rented sector.	E4	Very Low	Marginal	E4
NEW RISKS / RISKS YET TO BE SCORED						
19	Corporate Services	Failure to deliver savings from the centralisation of the sub £100k procurement spend	NEW			